

# Caroline Judge Mehta

Partner and Firm Co-Chair

Caroline Judge Mehta is an experienced advocate who represents individuals, business organizations, and other entities in criminal, regulatory, and administrative investigations. She defends clients in complex criminal cases involving mail and wire fraud, tax, health care fraud, insider trading, securities fraud, antitrust, and the Foreign Corrupt Practices Act (FCPA). She has handled both trial and appellate proceedings in federal and state courts.

Caroline rejoined the firm after serving as General Counsel and Chief Legal Officer for a rapidly growing global technology company that provides AI-backed pairing solutions for contact centers in the telecom, insurance, banking, and health care verticals. During her time in-house, she provided strategic risk management across over 20 countries during a period of rapid growth and restructured the legal team to address compliance and data privacy needs. She also oversaw all regulatory, compliance, and corporate law matters.

Caroline has extensive experience in sensitive investigations and litigation stemming from allegations of sexual misconduct. She has represented individuals and institutions accused of sexual misconduct, including violations of Title VII and Title IX. She conducts internal investigations and provides compliance advice for educational and other institutions with respect to alleged sexual misconduct. Her work has also included advocating on behalf of victims in investigations and litigation surrounding child sexual abuse and the adequacy of institutional responses to abuse.

Caroline's extensive healthcare law experience includes investigations related to off-label promotion, anti-kickback statutes (AKS), and the False Claims Act (FCA). She has defended both civil qui tam actions on behalf of individuals and corporations, and has represented clients in criminal and regulatory investigations.

Caroline also counsels the firm's clients on complex matters of legal ethics and professional responsibility. She has served as an adjunct professor at Georgetown University Law Center, teaching legal ethics.

## Professional leadership



## Washington, DC

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## Practice focus

- Antitrust
- Congressional investigations
- White Collar Defense
- Securities and Commodities Litigation
- False Claims Act
- Food and Drug
- Foreign Corrupt Practices Act (FCPA)
- Health Care
- Investigations
- Legal Profession and Ethics

## Education

- Harvard Law School, J.D., *magna cum laude*, 1999
- University of Vermont, B.A., *summa cum laude*, 1993

## Languages



ZUCKERMAN  
SPAEDER

- Member, Zuckerman Spaeder DEI Committee
- Co-Chair, ABA National Institute on White Collar Crime, 2019
- Adjunct Professor, Georgetown University Law Center, 2008–2010
- Member, National Association of Criminal Defense Lawyers Task Force on Title IX
- Edward Bennett Williams Inn of Court, 2006-2010
- Member, District of Columbia Court of Appeals, Committee on the Unauthorized Practice of Law, 2006–2014

### **Recognitions**

- The Best Lawyers in America, Criminal Defense: White-Collar

### **Bar admissions**

- District of Columbia
- Massachusetts

### **Court admissions**

- U.S. Supreme Court
- U.S. Court of Appeals, Second Circuit
- U.S. Court of Appeals, Eighth Circuit
- U.S. Court of Appeals, Ninth Circuit
- U.S. District Court, District of Massachusetts

### **Clerkships**

- Hon. Patti B. Saris, U.S. District Court, District of Massachusetts

### **Representative matters**

- Represented a privately held company in AAA arbitration stemming from sexual harassment allegations brought by junior employee.
- Conducted an internal investigation for a large medical institution regarding allegations of sexual harassment and gender bias by senior level physicians.



- Advised the Special Committee of the Board of Trustees of a private college with respect to allegations of sexual misconduct by a long-standing faculty member. Conducted internal investigation, reviewed existing Title IX policies and practices, and recommended changes based on nationwide best practices and DOE guidance. Recommendations were adopted unanimously by the Board and implemented.
- Conducted an internal investigation on behalf of a religious institution into allegations of sexual harassment against a retired high ranking minister; coordinated with ecclesiastical lawyers for religious institution; submitted findings and recommendations to religious institution.
- Represented the former Chief Accounting Officer of publicly traded real estate investment trust in parallel DOJ and SEC investigations into alleged securities fraud.
- Represented a non-profit organization in DOJ health care fraud investigation related to patient assistance programs (PAPs).
- Represented a former president of generic pharmaceutical company in parallel investigations by State Attorneys General and the DOJ into alleged price-fixing in the generic drug industry.
- Represented six families and their nine minor children in litigation against religious institution who alleged that they were sexually abused by a serial predator whom the church enabled and promoted as a babysitter. The case settled after ten weeks of trial.
- Defended a commercial aviation leasing company and its president and CEO in a seven-week jury trial in the U.S. District Court for the Northern District of Illinois. The U.S. Securities and Exchange Commission (SEC) sued the company and its president in 2007, alleging that the company was insolvent and was misusing investors' funds, but we defeated the SEC's effort to appoint a receiver and freeze the company's assets. In 2010, a criminal indictment alleging various wire fraud, bank fraud, tax, and obstruction of justice offenses was returned.
- Represented the chief executive of a health care company in a qui tam case involving allegations that the company and a leading pharmaceutical manufacturer engaged in violations of the False Claims Act as well as off-label promotion of the manufacturer's products. Persuaded the Department of Justice not to intervene, and reached a resolution pursuant to which all claims



were dismissed against our client.

- Represented a prominent orthopedic surgeon in a criminal investigation of potential Anti-Kickback Statute violations stemming from consulting relationships with manufacturers of medical devices and prosthetic implants. No action was taken against our client.
- Represented a former high-level executive at a major pharmaceutical manufacturer in parallel civil and criminal investigations regarding allegations of off-label promotion of anticonvulsant drug. No action was taken against our client.
- Represented an officer of a multinational energy company in an FCPA investigation relating to development of a natural gas facility in Nigeria. No civil or criminal charges against our client resulted from the joint U.S. Department of Justice (DOJ) and U.S. Securities and Exchange Commission (SEC) investigations.
- Represented former dean of a graduate school in legal proceedings stemming from a Title IX investigation triggered by a complaint by the dean's assistant.
- Represented male students accused of violations of their college or university's Title IX policy.
- Represented an investment fund that focuses its business on investment opportunities in Israel and related markets. The company became involved in an investigation by the New York Attorney General into "pay-to-play" activity involving investments by state pension funds. We negotiated a settlement between the company and the attorney general, and continue to defend the company in related civil litigation stemming from the activities of its former partner.
- Represented the vice president of a polyester manufacturing company in a lengthy investigation by the U.S. Department of Justice (DOJ) into price fixing in the polyester staple market. Despite mounting an unsuccessful case against the sales executive of a separate manufacturer, the DOJ issued a target letter against our client. After our presentation to the leadership at DOJ, however, the government ultimately declined prosecution.

